



## **NISM - Capsule on PMS Operations**

***“Portfolio Management Services- Operations”*** is an exhaustive learning program developed by the National Institute of Securities Markets.

This program provides a comprehensive understanding of PMS fundamentals, process flow and service operations. It also covers the role of the Compliance Team, RTA in client servicing and the process of audit and inspection.

### **A: Preview of this Course:**

- <https://www.youtube.com/watch?v=t4h0EVLyThU>

### **B: Program Highlights- 12 structured modules covering:**

1. Introduction to Portfolio Management Services.
2. PMS Process Flow.
3. Client Onboarding.
4. Service Operations.
5. PMS Operations.
6. Investment Team.
7. Dealing Team.
8. Management and Client Reporting.
9. Compliance Team.
10. Audit and Inspection.
11. Operations done by Outsourced Entities.
12. Module Closure.

### **C: The program will include:**

1. Practical understanding of PMS, ability to navigate PMS documentation and operational workflows, familiarity with capital calls, distributions, and investor reporting and Awareness of high potential investment opportunities.
2. Two hours of rich learning content.
3. Certificate of Completion from NISM.

### **D: Why Enroll for this Course?**

1. This course is designed to keep participants informed about the PMS operations and process flow, client onboarding, internal team workflow including regulations and SEBI guidelines related to audit and inspection to smoothen the working of the PMS as a whole.
2. We encourage APMI Members to take advantage of this valuable opportunity to strengthen your operations core and internal work flow, available at an affordable Course Fee of Rs. 500/- with special discounts offered for bulk registrations by NISM.

### **E: For more details and**

**registration:** <https://online.nism.ac.in//module/PMS%20Operations.html#>

Warm Regards

**Association of Portfolio Managers in India**

**Contact us at:** <https://www.apmiindia.org/apmi/contactus.htm>